

Impact of Mycobacterial Infections on Outcomes of Patients with Metastatic Renal Cell Carcinoma: A Case Series

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ABSTRACT

Objective: Renal cell carcinoma (RCC) is a common cancer, and mycobacterial infections (MBI) are some of the most prevalent infections in the world. Little is known about their overlap and how MBI might affect outcomes of RCC. The objective of this series was to explore the relationship between MBI and RCC in terms of patient survival and treatment response.

Methods: Institutional records were searched for patients with diagnoses of kidney cancer and MBI. Patients with histologically confirmed metastatic RCC diagnosed up to the date of IRB approval were included. Patient demographics, tumor characteristics, clinical data, and treatment modalities and durations were collected and analyzed. Primary outcome was overall survival.

Results: 5 patients were included. Median overall survival (mOS) of patients with RCC and subsequent diagnosis of MBI was ≥62 months. mOS of patients carrying both diagnoses without concern for temporal relation was ≥24 months.

Conclusion: Development of an MBI during RCC malignancy treatment may positively impact on therapy response and improve OS. Improved TKI response duration may be related to upregulation of VEGF and angiogenesis seen as a downstream consequence of the immune response to mycobacterial infection.

KEYWORDS: Kidney Cancer • MAC • Mycobacterial Infection • Renal Cell Carcinoma • Tuberculosis •

Abbreviations: mRCC – metastatic renal cell carcinoma; MSKCC – Memorial Sloan-Kettering Cancer Center; IMDC – International Metastatic RCC Database Consortium; TKI – tyrosine kinase inhibitor; OS – overall survival; mOS – median overall survival IFN – interferon; IL – interleukin; TGF – transforming growth factor VEGF – vascular endothelial growth factor, HIF - hypoxia-inducible transcription factors.

INTRODUCTION

Renal cell carcinoma (RCC) is among the top 10 cancers for both men and women, with nearly 74,000 new diagnoses expected in the US this year¹. Even with recent advances, the 5year relative survival for metastatic RCC remains low, at approximately 12%¹. Patients with RCC and other malignancies are at higher risk for infection development, but the impact of viral and

bacterial infections on outcomes of malignancy remains controversial.

Physicians and researchers have observed the impact of spontaneous infections on cancers for over 150 years. In 1867, Busch in Germany reported on a cancer that went into remission after a bout of erysipelas. At the turn of the 20th century, this knowledge was harnessed by Dr. William Coley, often referred to as the "father of immunotherapy of

Streptococcus pyogenes and Serratia marcescen bacteria and found patients with sarcomas could often be put into deep and durable remissions². More recent data on the impact of infections are conflicting. Within retrospective studies of post-operative infections in glioblastoma multiforme, De Bonis et al found that post-operative infection led to a significant survival advantage³, whereas Bohman et al found that it did not4. Furthermore, while studies have shown that postoperative intra-abdominal infections in patients with stage II colon cancer have a negative impact on disease-free survival and disease-specific survival⁵ and surgical site infections following resection of T4No-2Mo colon cancers are associated with an increased risk of intraabdominal recurrence and worse survival6, post-orthotopic liver transplantation infections tend to improve the outcome of hepatocellular carcinoma patients⁷ and postoperative empyema seems to improve survival in lung cancer8.

cancer." Coley inoculated patients with

Mycobacterium tuberculosis is one of the most common infections worldwide, affecting about one quarter of the world's population⁹. Non-tuberculous mycobacterial infections (MBI) are also common¹⁰⁻¹⁴. In our institution, we observed that patients with metastatic RCC (mRCC) who at some point in their treatment developed mycobacterial pulmonary infections appeared to have prolonged overall survival (OS) when compared to what is expected in the general mRCC population. This has not been previously reported in the literature. Our objective was to assess the correlation between the presence of prior or concurrent MBI and patient survival and treatment response in mRCC.

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Patient #	Sex	Race	Ethnicity	Age at diagnosis	Insurance coverage	Year of diagnosis
1	Female	White	Non-Hispanic	71	Public	2008
2	Male	White	Non-Hispanic	75	Public	2010
3	Male	Black	Non-Hispanic	63	Public	2013
4	Male	Asian	Non-Hispanic	62	Uninsured	2014
5	Female	Asian	Non-Hispanic	83	Public	2015

TABLE 1 | Baseline patient characteristics

Patients and Methods

After local IRB approval, institutional electronic medical records and databases were queried for patients with a diagnosis of both "malignant neoplasm of kidney, except renal pelvis" (ICD-10 C.64) and either "infection due to other mycobacteria" (ICD-10 A.31) or "respiratory tuberculosis" (ICD-10 A.15). Patient records were then assessed for accuracy of aforementioned diagnostic criteria and for presence of metastatic RCC. Patients with histologically confirmed mRCC with clear cell or non-clear cell histology were included; patients without metastatic disease were excluded. Patients who were diagnosed with RCC up to the date of local IRB approval were included.

The following data were collected: baseline patient demographics,

baseline tumor characteristics, clinical data, treatment data, time of diagnosis of MBI in relation to diagnosis of RCC, treatment for MBI, outcomes including duration of response to anticancer therapy before and after MBI infection, and OS, defined as the time from diagnosis of mRCC to the time of death from RCC.

Results

Twenty-seven patients were initially identified throughout the University of Colorado Health system applying the above diagnostic criteria. Sixteen were excluded due to only nonmetastatic localized disease, five did not truly carry a diagnosis of renal cell carcinoma, and one was excluded for squamous cell differentiation and a lack of clarity surrounding the primary tumor of origin.

Five patients were therefore assessed in this series, with baseline patient characteristics as described in Table 1. Two female and three male patients, ages 62-83, were included in the series.

Characteristics of the patients' mRCC are outlined in Table 2. One patient harbored sarcomatoid features. Patients initially presented at both localized and metastatic disease stages. Three patients had International Metastatic RCC Database Consortium (IMDC) and Memorial Sloan-Kettering Cancer Center (MSKCC) Intermediate Risk category disease, one had poor risk disease, and one had favorable risk disease. Sites of metastases included lymph nodes, bone, lung, liver, soft tissue, brain, and in the nephrectomy bed.

The treatment modalities used are included below (Table 3). Four patients had prior nephrectomy. Two patients received local radiation therapy to metastatic sites. Four patients received systemic therapy, including tyrosine kinase inhibitors (TKIs) (pazopanib, sorafenib, axitinib, cabozantinib), immune checkpoint inhibitors (nivolumab), and experimental drugs. Two patients enjoyed durations of 32 and 39 months of systemic therapy with TKIs prior to their MBI diagnosis. Four patients received systemic therapies subsequent to their infection diagnosis, with durations ranging from 24 months to 72 months of therapy. One patient previously had

Patient #	RCC Histology	Sarcomatoid features	Fuhrman Grade	Laterality	Stage at Initial Diagnosis	Locations of metastatic disease	IMDC Risk category	MSKCC Risk category
1	Clear cell	No	2	Left	П	Bone, Nephrectomy Bed, LNs, Lung, Brain	Intermediate	Intermediate
2	Clear cell	No	Unknown	Right	IV	Mediastinum, Bone, Brain	Intermediate	Intermediate
3	Clear cell	Unknown	2	Left	Ш	RP Soft Tissue, Lung	Favorable	Favorable
4	Clear cell	No	Unknown	Left	IV	Lung, Liver, Bone	Intermediate	Intermediate
5	Clear cell	Yes	4	Right	IV	Lung, Nephrectomy Red	Poor	Poor

TABLE 2 | Renal cell carcinoma characteristics. Key: IMDC – International Metastatic RCC Database Consortium (1 point each for <1 year from diagnosis to start of therapy, Karnofsky Performance Status <80%, Hemoglobin < lower limit of normal (LLN), calcium > upper limit of normal (ULN), neutrophils > ULN, platelets > ULN; 0 points favorable risk, 1-2 points intermediate risk, 3+ points poor risk). MSKCC – Memorial Sloan-Kettering Cancer Center (1 point each for <1 year from diagnosis to start of therapy, Karnofsky Performance Status <80%, Hemoglobin < LLN, calcium > 10 mg/dL, LDH > 1.5x ULN; 0 points favorable risk, 1-2 points intermediate risk, 3+ points poor risk.

pulmonary tuberculosis, two patients after already diagnosed with RCC, their were diagnosed with an MBI at the same time as their mRCC diagnosis, and two patients were diagnosed after their mRCC diagnosis. Only one patient underwent treatment of MBI diagnosed after RCC diagnosis: standard triple therapy of ethambutol, isoniazid, and rifampin. Overall survival ranged from 2 to 117 months.

Discussion

Mycobacterial infections are common infections clinically ranging from asymptomatic to morbid. Generally speaking, infections have negative impact on patients in the short term, but it is unknown whether there is a positive impact for patients with mRCC. While the cohort of patients in this retrospective analysis was small, patients who developed MBI after mRCC diagnosis seemed to have prolonged PFS and OS. tients who were diagnosed with MBI af-

OS ranged from 12 to 117 months. The mean and median OS in among these patients were 63.7 months and 62 months, respectively. If we also include the two patients who either had a history of MBI or were diagnosed with the infection at the same time as diagnosis of the RCC, the mean OS and median OS decreases to 43.4 months and 24 months, respectively. In summary, if a patient with mRCC was diagnosed concurrently or prior to MBI, OS was not necessarily improved. However, patients in our cohort who developed MBI after diagnosis of RCC appeared to have substantially improved OS when compared with that of the general population of patients with mRCC and demonstrated longer duration of treatment and disease stability following MBI.

The beneficial results seen in pa-

disease, yet she an OS of 24 months, much longer than what would be expected for sarcomatoid RCC.

We had predicted that patients with MBI would respond better to immune checkpoint inhibitors based on their increased immune system activation, but interestingly patients in this cohort received PD-1 checkpoint inhibitor therapy for only a short time prior to progressing. Patients 1 and 2 had the most impressive OS, at 62 and 117 months, and had prolonged responses to VEGFR TKI therapies both prior to and after MBI. Additionally, these patients maintained excellent control of their mRCC in the chest, but had recurrences in the brain, requiring local treatments. It is interesting to consider if the infection perhaps conferred some benefit systemically that is unable to cross the blood brain barrier into the central nervous system (CNS) to then affect the same

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Patient #	Nephrectomy	Radiation	Systemic Therapy for mRCC	Time from mRCC diagnosis to MB infection diagnosis	Duration of systemic RCC treatment prior to MB infection diagnosis	Treatment for MB infection	Duration of systemic RCC treatment after MB infection diagnosis	Overall survival	Survival status
	Yes	Yes	Yes, initiated 4 mo from mRCC diagnosis	36 mo	32 mo (27 mo Pazopanib, 5 mo Sorafenib)	Yes: Ethambutol, INH, rifampin	30 mo (7 mo Sorafenib, 10 mo Axitinib 3 mo Nivolumab, 10 mo Cabozantinib)	62 mo	Dead
2	Yes	Yes	Yes, initiated at time of mRCC diagnosis	39 mo	29 mo (14 mo Sunitinib, 14 mo Pazopanib, 1 mo Axitinib), followed by 10 mo drug holiday	No	72 mo (18 mo Pazopanib, 50 mo clinical trial HIF-2a inhibitor, 4 mo Nivolumab), 6 mo drug holiday interspersed	117 mo	Dead
3	Yes	No	Yes, initiated at time of mRCC diagnosis	0 mo (but 60 mo from initial RCC diagnosis)	0 mo	No	12 mo (9 mo Pazopanib, 3 mo Nivolumab)	At least 12 mo	Unknown
4	No	No	No	0, diagnosed at same time	NA	No	NA	2 mo	Dead
5	Yes	No	Yes, initiated at time of mRCC	NA – patient diagnosed with infection prior to RCC	0 mo	Yes: Unknown therapy	24 mo (Nivolumab)	24 mo	Dead

TABLE 3 | Treatment of mRCC and MBI data. Key: INH - isoniazid, TB - tuberculosis, LTBI - latent tuberculosis infection, mo months, MB - mycobacterial, NA - not applicable.

been vast improvements in mRCC treat- ed by other factors. Two patients had ment options that prolong life and improve quality of life. The median overall to MBI, which reflects favorable biolsurvival (mOS) for advanced and metastatic RCC is reported to be approx- that both these patients also had brain imately 20 - 30 months¹⁵⁻¹⁹. Analysis metastases, which normally would conof our cohort found that, in the three fer poor prognosis. Patient 5 harbored patients who were diagnosed with MBI sarcomatoid features and had poor risk

Within the past decade, there have ter diagnosis of RCC may be confoundlong treatment responses to TKIs prior ogy of RCC; however, it is noteworthy

to M. tuberculosis is well understood, and the pathogenesis of other MBI are presumed to have similarities to that of tuberculosis²⁰. The cellular response first involves veolar macrophages, where the mycobacterium is

taken up and proliferates within their vacuoles as an intracellular pathogen via immune-evasion mechanisms. These macrophages activate T lymphocytes and NK cells via cytokine release, and the mycobacterial antigens are also presented on macrophages to Tlymphocytes leading to subsequent expansion

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of T lymphocyte clones. IL-2, IL-12, TNF-alpha, and IFN-gamma are major players in the immune response to MAC, and IL-6, IL-10, and TGF-beta are important in modulating the immune response²¹. TGF-beta, a regulator of the immune response, is also involved in upregulation of VEGF and angiogenesis^{22, 23}. During MBI, not only is TGFbeta released locally for regulation and suppression of the immune system in MBI, but there are higher levels of TGFbeta found systemically in the blood of patients with MBI^{24, 25}. Theoretically, if TGF-beta is elevated systemically to mitigate the proinflammatory cytokines released in response to the infection. then VEGF and resultant angiogenesis should also be upregulated throughout the body and throughout sites of metastatic disease. This upregulation could possibly then make the tumor cells of the RCC more susceptible to our standard anti-angiogenic therapies with TKIs which inhibit VEGF and TGF-beta pathways²⁶). In support of this hypothesis, Patients 1 and 2 had prolonged responses (27 and 18 months, respectively) to TKI therapy following their diagnoses of MBI, even when the

TKI was used as third- and fourthline therapies and beyond, when we might expect time to progression on these drugs to be quite low. Another hypothetical mechanism that could explain a local protective response would be related to the local damage and relative hypoxia induced by a mycobacterial pulmonary infection. Hypoxia should locally upregulate hypoxia-inducible transcription factors (HIFs), which are integral in the subsequent upregulation of VEGF-A and angiogenesis²⁷⁻²⁹. Again, this may then allow the RCC to be more susceptible to TKIs, as seen in Patients 1 and 2, and could also explain Patient 2's remarkable duration of response of 50 months to the HIF-2a inhibitor he received as part of a phase I clinical trial. The role of TGF-beta, HIF, VEGF, and angiogenesis, and the response to therapies directed against angiogenesis could also help to explain why the patients with history of MBI prior to their RCC diagnosis did not derive the same benefit from TKI therapy as the patients who already had RCC at time of infection diagnosis.

This series shows a trend toward improved outcomes in patients who

experienced an MBI during the time of treatment for mRCC, although we cannot draw generalizable conclusions due to the small cohort of patients. By expanding our search to multiple institutions, one would expect a broader distribution of patients with both favorable and unfavorable tumor characteristics and clinical characteristics, and a wider range of patients who experienced their MBI before, simultaneously, and after mRCC diagnosis. It would be interesting to assess whether a benefit of MBI is seen across all risk stratifications, different histologies, treatment modalities, etc. Additional lines of query could then include whether other types of infections have impact on outcomes in mRCC, or whether a protective benefit against development of metastases could be seen in the brain if the MBI was serious enough to involve the CNS (as in the case of tuberculous meningitis or tuberculoma).

Conclusions

Renal cell carcinoma is a common cancer, and tuberculous and non-tuberculous MBI are among the most common infections throughout the world; the intersection of these two diagnoses brought into question the impact of the latter on the former. This series of five patients within a single institution revealed that simply carrying a diagnosis of both mRCC and MBI did not improve OS, but suggested that the development of MBI during ongoing malignancy had an impact on response to TKI therapy and improved OS. Additional consideration of these findings within a larger cohort of patients is necessary, as it may offer further insight into the issue, even assisting in prognostication of disease outcomes for individuals or prediction of their responsiveness to specific therapies.

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toward COVID-19 remedies shifted focus away from existing lines of clinical research, which creates uncertainty as to how to proceed with future cancer clinical trials. Even with those trials that are not terminated, the outbreak changed the way cancer clinical trials are conducted and reported, with potentially lasting implications due to pandemic-related logistical barriers.

While COVID-19 has complicated the treatment of cancer patients and continuing clinical research, it has also spurred creative solutions especially remote or decentralized clinical trials. The newly developed recommendations in light of COVID-19 impact could improve the overall trial process and also serve as a silver lining to the trials in the long term. Several measures including the leveraging of telehealth, use of e-signatures, remote monitoring of trials, and outside lab testing are effectively being exploited to make the best out of the situation. Other changes include delaying recruitment, implementing COVID-19 screening procedures, expediting changes in trial protocol and exploring alternative drug administration methods are already in place. The NCI also has released guidance specific to cancer clinical trials, including recommendations on the overnight shipping of medications to trial participants. Amid the outbreak, the widespread use of telemedicine has emerged as one of the positive changes to clinical trials. Some studies involving patients with cancers indicate that telehealth was not only associated with a higher quality of life and less depression and distress compared with usual care but also can be just as effective as in-person meetings.

In the past decade alone, breakthroughs in immunotherapy including anti-PD-1 and anti-PD-L1 based agents have revolutionized the cancer management. However, now there may be a lag before this development can take off post COVID-19 pandemic as the pandemic threatens to set back the pipeline of such oncology agents by several years. Currently, it still remains unclear whether immune checkpoint inhibitors and other immunotherapies worsen or benefit the outcomes

in patients who have cancer and COVID-19 infection. Recent study conducted at the MSKCC highlighted that there was an association of immune check inhibitors with increased ICU admission rate, but did not increase the risk of mortality¹. Given the limited and conflicting data on the benefit/risk of ICI therapies to patients with cancer in the pandemic setting, oncologists are left alone to carefully assess the risks and benefits managing ICI therapy on a case-by-case basis. Physicians should weigh the advantages of relapse-free survival benefit against the COVID-19 associated risks. Given the lack of robust clinical data, caution must be taken while continuing ICIs in patients with cancer who may be affected by COVID-19. It seems reasonable to suggest in patients with metastatic disease without COVID-19, ICI therapy may not be withheld. Multicenter retrospective studies will be required to provide more definitive guidance on the role of immune checkpoints in COVID-19 infection for clinicians.

Ever since the outbreak, the most inspiring aspect is that oncologists and their team members showed incredible resilience and resolve to deal with the unforeseen crisis, by exploiting timely strategies including adopting telehealth, workflow reorganization, and safety processes enhancements at their clinics. It is imperative for clinicians and researchers to learn and continuously adapt to the new standards of cancer care and risk management through implementing reforms, with the hope that we can find a silver lining in improving research efficiency and outcomes in the face of the pandemic crisis.

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